



Atty. Dkt. No. 080964/0107

2131 #2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barry J. SCHLOSSBERG et al.

Title: A Distributed Network Security Deception System

Appl. No.: 09/956,942

Filing Date: September 21, 2001

Examiner: Unassigned

Art Unit: 2131

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on PTO Form SB/08B is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

The nonpatent literature document identified as No. A5 is complete as disclosed, and does not have pages 15, 21 and 25 as part of the document.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of PTO Form SB/08B be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date: December 20, 2001

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number.				Substitute for form 1449B/PTO	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
Date Submitted: <u>December 20, 2001</u>				Application Number	09/956,942
(use as many sheets as necessary)				Filing Date	September 21, 2001
				First Named Inventor	Barry J. SCHLOSSBERG et al.
				Group Art Unit	2131
				Examiner Name	Unassigned
				Attorney Docket Number	080964/0107
Sheet	1	of	1		

[illegible][illegible]

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A5	BARRY SCHLOSSBERG: "SNET: Design and Implementation of a Deception System," Information Security Bulletin, October 2000, pages 13-26, CHI Publishing Ltd.	

Examiner Signature		Date Considered	
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1 Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.**